

# WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW

PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

600 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
GREGORY M. TAYLOR  
DANA L. TANGREN  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE†  
DAVID B. DELLENBACH  
KEVIN K. JOHANSON  
R. BURNS ISRAELSEN  
DAVID R. TODD

L. DAVID GRIFFIN  
FRASER D. ROY  
CARL T. REED  
JESÚS JUANÓS I TIMONEDA, Ph.D.  
R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
ADRIAN J. LEE  
DAVID B. TINGEY  
L. REX SEARS, Ph.D.  
ERIC M. KAMERATH  
ROBERT E. AYCOCK  
JENS C. JENKINS  
KEVIN W. STINGER  
WILLIAM J. ATHAY  
WILLIAM R. RICHTER\*  
† ADMITTED ONLY IN CALIFORNIA  
\* ADMITTED ONLY IN PENNSYLVANIA  
H. ROSS WORKMAN  
OF COUNSEL

## PATENT APPLICATION

Docket No.: 13676.142 PATENTS

TRADEMARKS

COPYRIGHTS

TRADE SECRETS

UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>

GENERAL E-MAIL: [info@wnspatent.com](mailto:info@wnspatent.com)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Richard L. Bonkowski, et al.

Serial No.: 09/351,102

Filed: July 8, 1999

For: DIFFRACTIVE SURFACES WITH COLOR  
SHIFTING BACKGROUNDS

08/08/2001 ANABI1 00000080 09351102

01 FC:126

180.00 OP

### TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

x Form PTO-1449 list of one (1) reference submitted for consideration.

x Legible copies of the listed-reference or their relevant portions.

     All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

#13  
KLO  
8-14-01

Art Unit  
1772

RECEIVED  
AUG 09 2001  
TC 1700

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

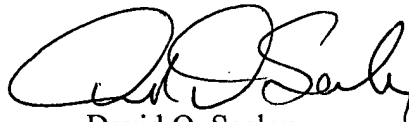
- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ☐ Promptness Certification.
- ☒ Credit Card Payment Form Authorized in the amount of \$180.00 constituting submission fee under 37 C.F.R. 1.17(p);
- ☐ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☐ In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 2nd day of August, 2001.

Respectfully submitted,



David O. Seeley  
Attorney for Applicants  
Registration No. 30,148



PATENT APPLICATION  
Docket No.: 13676.142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
 )  
Richard L. Bonkowski, et al. )  
 )  
Serial No.: 09/351,102 )  
 ) Art Unit  
Filed: July 8, 1999 ) 1772  
 )  
For: DIFFRACTIVE SURFACES WITH COLOR )  
SHIFTING BACKGROUNDS )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
AUG 09 2001  
TC 1700

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.



In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Statement of Relevance of References Listed  
Unaccompanied by English Translation  
Under 37 C.F.R. § 1.98(a)(3)

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 2nd day of August, 2001.

Respectfully submitted,

David O. Seeley  
Attorney for Applicants  
Registration No. 30,148

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707

RECEIVED  
AUG 09 2001  
TC 170L



PATENT APPLICATION  
Docket No.: 13676.142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Richard L. Bonkowski et al.

Serial No.: 09/351,102

Filed: July 8, 1999

For: DIFFRACTIVE SURFACES WITH COLOR SHIFTING  
BACKGROUNDS

RECEIVED  
AUG 09 2001  
TC 1700

)  
)  
)  
)  
) Art Unit  
) 1772  
)  
)

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 2, 2001.

David O. Seeley  
Attorney for Applicants  
Registration No. 30,148

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707

Transmitted: Transmittal for Supplemental Information Disclosure Statement  
Supplemental Information Disclosure Statement  
Form PTO-1449 Listing of Reference  
Copy of One (1) Cited Reference  
Credit Card Payment Form Authorized in the Amount of \$180.00  
Certificate of Deposit  
Postcard